

# CHEVELEY PARISH COUNCIL

## RISK MANAGEMENT & RISK ASSESSMENT POLICY

Cheveley Parish Council (CPC) is committed to identifying and managing risks, using the following procedures, and to ensuring that risks are maintained at an acceptable level. Any action that is deemed necessary will be taken by Cheveley Parish Council.

The Clerk and/or appointed Councillors will review risks on a regular basis and will report to the Parish Council. The review will include identification of any unacceptable levels of risk.

The Local Councils Governance and Accountability Guidance (LCGAG) makes the following observations regarding risk management:

- a) Risk Management is not only about financial management; it is about setting objectives and achieving them in order to deliver high quality public services.
- b) The new approach places emphasis on local councils strengthening their own corporate governance arrangements, improving stewardship of public funds and providing assurance to taxpayers.

The LCGAG further states that Members are responsible for risk management because risk threatens the achievement of policy objectives. Members should therefore:

- a) take steps to identify key risks facing the Council.
- b) evaluate the potential consequences to the Council if an event identified as a risk takes place.
- c) decide upon appropriate measures to avoid, reduce or control the risk or its consequences.

Risk identification:	Level	Control
<b>Protection of all physical assets e.g. buildings, furniture, equipment and regalia.</b>	<b>M</b>	All physical assets are insured with Zurich Municipal under Policy no. YLL-2720845683.  Maintain an up to date register of assets and investments.  Regular inspection and maintenance of physical assets.  Annual review of risk and adequacy of insurance cover.  Ensuring robustness of insurance providers.  Internal Audit review of policies and processes.
<b>Children’s playground.</b>	<b>H</b>	Monthly inspection of children’s playground and RoSPA certified inspection annually.
<b>Risk of damage to third party property or individuals as a result of the Council providing services or amenities to the public.</b>	<b>M</b>	CPC has Public Liability insurance of £10,000,000, Employer’s Liability Insurance of £10,000,000, Hirer’s Indemnity of £5,000,000 and Personal Accident cover for employees, Members and volunteers.
<b>Risk of consequential loss of income or need to provide essential services following critical damage, loss or non-performance by third party.</b>	<b>M</b>	Included in Insurance Policy Cover.

<b>Loss of cash through theft or dishonesty by any Councillor, Clerk or Employee of the Parish Council (Fidelity Guarantee).</b>	<b>H</b>	CPC has Employee Dishonesty cover up to £150,000.
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<b>Security for vulnerable buildings (pavilion).</b>	<b>H</b>	<p>The Pavilion is securely locked by key holders to ensure the building is secure. Key holders are councillors who live locally.</p> <p>The Pavilion, and its equipment, is maintained within an approved budget. Maintenance is undertaken by approved contractors as required in accordance with the Council's Financial Regulations 2016.</p>
<b>Banking services</b>	<b>M</b>	<p>Reviewed periodically by the Council.</p> <p>All cheques require two signatories of Members listed on the Mandate. The Parish Clerk is not a signatory. The Council reviews all payments monthly and bank and accounting statements quarterly.</p>
<b>Professional services/contractors</b>	<b>M</b>	<p>The Council acts in accordance with its Financial Regulations and Standing Orders in obtaining professional services and contractors.</p> <p>Standing Orders govern the awarding of contracts; these were last updated in July 2018 and are reviewed annually.</p> <p>Invoices are subject to scrutiny by both the Clerk/RFO and the cheque signatories who are Councillors, and by the remainder of the Council if requested.</p> <p>Internal controls are reviewed as necessary by the Clerk and Internal Auditor and any recommendations are submitted to the Council</p>
<b>Loss of funds through poor management or governance.</b>	<b>M</b>	<p>Review of Minutes to ensure legal powers are available and the basis of the powers recorded and correctly applied. Where appropriate, legal powers bestowed on the Council will be recorded in the minutes against decisions taken.</p> <p>Review and testing of arrangements to prevent and detect fraud and corruption.</p>

<b>Financial controls and records</b>	<b>M</b>	Financial records kept in accordance with statutory requirements and reviewed as part of the audit process.
<b>VAT Liability</b>	<b>M</b>	All such requirements are met by the Clerk/RFO and the Internal Audit Process. Online helpdesk used when necessary.
<b>Budgeting</b>	<b>M</b>	<p>The budget is approved by Full Council and the precept derived directly from this.</p> <p>Expenditure against budget report to Council each quarter.</p>

<b>Grant awarding</b>	<b>M</b>	<p>Grant awarding procedure in place.</p> <p>Grants considered by Full Council for approval.</p> <p>Grants awarded under the Local Government Act 1972, s.137 listed separately in the annual accounts.</p>
<b>Complying with restrictions on borrowing.</b>	<b>L</b>	No borrowing in place or likely at present.
<b>Employer Liability</b>	<b>M</b>	<p>Comply with Employment Law.</p> <p>Comply with HMRC requirements – all payroll duties undertaken by Cambridgeshire ACRE and subject to the audit process.</p> <p>Safety of staff and visitors.</p> <p>Display Screen Equipment assessment undertaken.</p>
<b>Hirer's Indemnity</b>	<b>M</b>	The Council has approved use of its pavilion/recreation ground for local community groups, organisations and users who have been approved by the Council via the application for hire agreement process, and by requesting hirers read and sign the Terms & Conditions of hire.
<b>Legal Liability</b>	<b>M</b>	<p>Clerk clarifies legal position on any new proposal. Legal advice to be sought where necessary.</p> <p>Council minutes prepared by the Clerk and distributed to Members in advance of the subsequent meeting. Minutes available to public and press on notice boards and website.</p> <p>Leases and legal documents held by the Council's solicitor. All land ownership registered with HM Land Registry.</p> <p>Documents retained in accordance with national guidelines and legislation. (Please refer to the Council's Retention of Documents Policy 2018).</p> <p>Burial records kept in a locked, fire-proof cabinet at the Clerk's place of work.</p>
<b>Councillor propriety</b>	<b>H</b>	<p>Register of Members' interests, gifts and hospitality completed and present at each Council meeting. Copies kept by Monitoring Officer at ECDC.</p> <p>Regular scrutiny of financial records and proper arrangements for the approval of Councillor expenses.</p>

Policy adopted by Cheveley Parish Council on 9<sup>th</sup> October 2018.  
Review due October 2019.